

Personification as ‘Epistemic Practice’ in Evolutionary Biology

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Abstract

I scrutinise the epistemic practices used in the initial formulation of Darwin’s theory of evolution by natural selection and its subsequent gene-centric development. Drawing on Charles Darwin’s *On the Origin of Species* (1859), I argue that *heuristic personification* was instrumental to the discovery and formulation of Darwin’s theory. Furthermore, two seminal papers by the sociobiologist W.D. Hamilton (1964, 1972) reveal that this same practice was used to render intelligible the game-theoretic mathematical models offered to explain altruism through ‘kin selection’. I propose that this heuristic is sufficiently distinct from recognised epistemic practices in the existing literature that it deserves its own, special category.

Contents

1) Introduction	2
2) Darwinian Evolution in Three Epistemic Practices	3
2.1) Natural History	3
2.2) Experiment	4
2.3) Analysis	5
3) Heuristic Personification: The Fourth Epistemic Practice	6
3.1) In Darwin’s <i>Origin of Species</i>	6
3.2) In W.D. Hamilton’s Game-Theoretic Explanations of Altruism	8
4) Objections to Heuristic Personification as Distinct Epistemic Practice	10
5) Conclusion	10
Bibliography	11

1) Introduction

In *Ways of Knowing* (2004), John V. Pickstone scrutinises the epistemic practices that have been employed in the natural sciences, in an attempt to narrow the gap between the history of ways of knowing (science) and the ways of doing and making (technology) (2004, pp. 19-20). In so doing, Pickstone introduces a taxonomy of three types of epistemic practices: *natural history* (describing and classifying (2004, p. 37)), *experimentation* (controlling phenomena and systematically creating novelties (2004, p. 2)), and *analysis* (reducing complex phenomena to simple principles (2004, p. 56)).

I will first show how Pickstone's categories allow us to classify the epistemic practices used in the development of Darwin's theory of evolution by natural selection (1859) and its subsequent mathematical, gene-centric turn by the biologist W.D Hamilton (1964, 1971, 1972). Having done that, I will show that a close reading of Charles Darwin's *Origin of Species* (1859) (hereafter "the *Origin*") and two of Hamilton's seminal papers in sociobiology (1964, 1972) reveal Pickstone's categories to be insufficient to categorise a key epistemic practice for both Darwin and Hamilton, which I will call *heuristic personification*.

By *heuristic personification* I mean the metaphorical attribution of rational agency to a biological entity as a means to explain a biological phenomenon, while simultaneously denying the objective reality of such agency. This is consistent with how the term "personification" has been used in discussions by Darwin (1859), Dawkins (1976), and Gillian Beer (1996). I will argue that *heuristic personification* was an indispensable tool for generating knowledge for Charles Darwin (1809–1882) and W.D Hamilton (1936–2000). More concretely, I will show that the initial discovery and formulation of the theory of evolution by natural selection would have been implausible without the personification of *Nature* as a 'selecting agent', a tool which allowed Darwin to explore the parallels between human breeders and natural selection. Moreover, the biological explanations for altruism in social insects like ants, bees, and termites offered by W.D Hamilton (1964, 1972) relies on game-theoretic mathematical models rooted in the *personified gene*. After all, game theory implies the existence of a game, which implies the existence of players with rational motives.

Having established that the initial formulation and subsequent mathematisation of Darwinian theory has depended heavily on the epistemic practice of *heuristic personification*, I will attempt to field two potential criticisms: First, that *heuristic personification* ought to be regarded as a special case of *analysis*, in the Pickstonian sense. And second, that it ought to be seen as a special case of vitalism - the view that "living organisms defy description in purely physico-chemical terms, because organisms possess some nonmaterial, non-measurable forces or directive agents that account for their complexity" (Allen, 2005). Both criticisms are addressed by viewing

heuristic personification as a *useful fiction*, in sharp contrast with the the ontic commitments inherent to analysis and vitalism.

2) Darwinian Evolution in Three Epistemic Practices

We begin by showing how Pickstone’s categories allow us to understand the epistemic practices used in the initial formulation of Darwinian evolution, and its subsequent gene-centric mathematical re-formulation.

2.1) Natural History

For Pickstone, *natural history* relies on “describing and collecting, identifying and classifying, utilising and displaying” (2004, p. 60). It thus relies both on the accumulation and dissemination of facts and objects as well as the journeys and expeditions necessary for their collection.

Darwin’s *Origin of Species* (1859) is littered with natural history. Whether it is wheat (1859, p. 9), ducks (p. 12), *Lobelia fulgens* (Cardinal Flower), (p. 78), *Ornithorhynchus* (Platypus), or a *Lepidoriren* (lungfish) (p. 100), Darwin is constantly citing his own observations as well as those made by his fellow naturalists (e.g. p. 88, p. 113). In fact, the “Register of writers referred to in the text of the *Origin*” in the 2008 *Oxford Classics* re-print contains 75 entries (1859/2008, p. 361). Still, Darwin laments that lack of space means he can only reveal a small sliver of the “long array of facts” he has collected (p. 114).

For each organism, Darwin focuses on peculiar characteristics which appear unlikely under the “ordinary view of each species having been independently created” (1859, p. 121), but can be readily explained by evolution. For example, “a part developed in any species in an extraordinary degree or manner, in comparison with the same part in allied species, tends to be highly variable” (1859, p. 114). The *Orangutan* provides a clear case: its distinctive long arms—the very feature that separates it from other apes—also happens to be the anatomical part exhibiting the greatest variation between individuals (p. 114). While Darwin sees “no explanation” for this phenomenon under the “view of each species [being] independently created” (p. 116), evolution by natural selection provides a plausible explanation. After all individual variation is described as “the materials for natural selection to accumulate” (p. 38). These variations thus “generative” (p. 117) of evolution. Therefore, we would expect the parts which have evolved the most up until today to be precisely the ones that are still varying to this day (p. 116). Natural history thus provides key empirical evidence for evolution.

Another application of natural history is Darwin's insistence that species are not 'natural kinds', but human conventions. Indeed, the idea that species were immutable productions by a Creator implies that specieshood is a natural category which is 'out there', waiting to be discovered. By viewing 'species' as a conventional, man-made category, Darwin was undermining a key Creationist tenet:

[...] it will be seen that I look at the term species, as one arbitrarily given [...] to a set of individuals closely resembling each other, and that it does not essentially differ from the term variety [...] The term variety, again, in comparison with mere individual differences, is also applied arbitrarily, and for mere convenience' sake.

— (Darwin, 1859), p. 43

To support this view, Darwin, once again employs natural history, this time pointing out the difficulties that naturalists have faced in drawing the line between a "strongly-marked variety" and a "doubtful species", or between "sub-species" and "species" (p. 39-42). He contrasts different plant and animal taxonomies in the literature, leading him to remark "what a surprising number of forms have been ranked by one botanist as good species, and by another as mere varieties" (p. 40). Darwin thus uses the *difficulties* encountered by the practice of natural history in articulating coherent taxonomies to argue that "varieties do not essentially differ from species" (p. 132), thus priming the reader to be more receptive to the possibility of species mutation, for, according to him, "[t]he differences [between species] blend into each other in an insensible series; and a series impresses the mind with the idea of an actual passage" (p. 42). By "actual passage" Darwin means the actual evolution from one species to another. Evolution is thus not a matter of the behaviour of universals such as 'species', because for Darwin such universals do not exist independently of the particular beings that instantiate them. If species were immutable productions created by God, then these vague gradations ("insensible series") that we observe in nature, and which make taxonomy so difficult, are highly unexpected. Darwin thus used natural history to argue for *species nominalism*, thus removing a key *a priori* obstacle to the theory of natural selection.

2.2) Experiment

Darwin continues his argument for *species nominalism* by drawing on the *experimental* way of knowing. He begins with an exposition of the 'standard view' that he is rejecting:

The view generally entertained by naturalists is that species, when intercrossed, have been specially endowed with the quality of sterility, in order to prevent the confusion of all organic forms.

— (Darwin, 1859, p. 47)

The belief in the “universal sterility of distinct species of animals when crossed” (p. 188) is a matter of fact about the world which can be settled by experiment. Darwin is sceptical that this sterility is actually universal, and brings to bear decades of experimental evidence on plant hybridism by Kölreuter and Gärtner, concluding that the “two most careful experimentalists who have ever lived, have come to diametrically opposite conclusions [...]” about the sterility of the crosses of distinct species, suggesting that intercross-sterility is not a *specialty endowed quality*, but an *accidental one* based on the physical incompatibility of reproductive organs. Drawing on Currie and Levy’s *Why Experiments Matter*, we can say that these experiments provided privileged access to knowledge by allowing the “repeated, fine-grained causal manipulation of focal properties” (Currie & Levy, 2019) of the specimen. The *focal property* in question being the ostensible “universal sterility” that cross-breeding between distinct species induces. Darwin points out that the inconsistencies in these experiments imply a non-repeatability of hybridisation experiments, which implies that this sterility is not actually a *focal property*. Therefore, the “degree of sterility, both in first crosses and in hybrids [...] cannot [...] be considered as absolutely universal” (p. 189). For Darwin, this makes it less likely to have been specially endowed by a Divine hand, thus further casting doubt on the view that species are immutable Divine productions, and rendering further ammunition to the evolutionary thesis.

The shift in evolutionary biology that led to the cementing of the ‘gene’ as an epistemic object was also heavily influenced by experimental practice, first as breeding experiments in the 1890s confirmed Mendel’s laws of inheritance (Rheinberger, 2008, p. 5), and later when Herman J. Muller reported on the induction of Mendelian mutations in *Drosophila* using X-rays in 1927 (Rheinberger, 2008, p. 6). And, perhaps most famously, the elucidation of the structure of DNA as a macromolecular double helix by Crick, Watson, and Rosalind Franklin allowed biologists to identify it as *the* autocatalytic hereditary entity, or ‘basic unit’ of heredity (Rheinberger, 2008, p. 8).

2.3) Analysis

John V. Pickstone calls *analysis* the practice of “reducing complex phenomena to simple principles” (2004, p. 56). This is a reductive form of knowing that prioritises logic and step-by-step mechanisms over the accumulation of facts. We can illustrate this with the following example from W.D Hamilton’s “Geometry for the Selfish Herd” (1971), in which he offers an explanation for why animals tend to form clusters or herds. Hamilton’s model predicts the clustering behaviour by simulating prey organisms minimising their own probability of being attacked. When prey use their neighbours as living shields, groups and herds form, with very few assumptions about the organisms in question. Hamilton is said to have used *analysis*, in the Pickstonian sense, because he has explained a complex behaviour as a natural consequence from a simple rule (an organism’s desire for self-preservation).

3) Heuristic Personification: The Fourth Epistemic Practice

As we have just seen, Pickstone's three categories are remarkably well-suited to cover a large portion of the epistemic practices underlying the genesis and development of Darwinian evolutionary theory. However, I will next show that a careful reading of the *Origin* and two papers by the biologist W.D Hamilton (1964, 1972), as well as their popularisation in Richard Dawkins' *Selfish Gene* 1976 reveals that *heuristic personification* (defined in §1) should be viewed as a distinct, fourth epistemic practice deployed in evolutionary biology.

3.1) In Darwin's *Origin of Species*

Darwin personifies *Nature* and *natural selection* – he speaks of it in terms of rational agency, akin to that of a human being. Thus, we read that that “man by selection can [...] produce great results, [...] through the accumulation of [...] useful variations, **given to him by the hand of Nature**,” (1859, p. 50), or that “natural selection should have **preserved or rejected** each little deviation of form **less carefully** [for unspecialized than specialized anatomical parts]” (1859, p 114), or that variations “will be **taken advantage of** by natural selection” (p. 258), or of variations “being **preserved and accumulated** by natural selection” (p. 91). We read that “Natural Selection, it should never be forgotten, **can act** on each part of each being, solely **through and for its advantage**” (p. 114), or that “natural selection would **have free scope for the work of improvement**” (p. 64). In each of these cases, natural selection appears personified - as if it had intelligence, discernment, agency and the desire to deliberately shape an organism a certain way.

Darwin didn't literally believe in a Personified Nature, as he explicitly clarifies in the *Origin's* third edition:

So again it is difficult to avoid personifying the word Nature; but I mean by Nature, only the aggregate action and product of many natural laws, and by laws the sequence of events as ascertained by us.

— Darwin (1861/1959) [p. 165]

Darwin claims that his Personified Nature is merely a short-hand for the natural laws gleaned from empirical observations of nature. So, why do I insist that it should be viewed as an epistemic practice deployed in the production of knowledge, rather than a simple explanatory device? Should we not view the personification of Nature in the same light as Darwin's other similes and metaphors, such as when he compares species to languages: “a breed, like a dialect of a language, can hardly be said to have had a definite origin” (p. 33). Darwin uses the language-

parallel to argue that asking to exactly date the genesis of, say, ‘the horse’, is a bit like trying to give an exact date to the appearance of ‘the English language’, thus using 19th century philology to illustrate his basic thesis that species are mutable. So, why don’t I argue that the language-simile was an epistemic practice?

My argument hinges on showing that *heuristic personification* was indispensable for Darwin to have his key insight. It is commonly understood that Darwin revolutionised biology by making the first compelling case for the transmutation of species and proposing a plausible dominant mechanism (*natural selection*). What is less understood is the method that led him to this insight: namely the careful scrutiny of *individual differences* between organisms, a topic that, as he pointed out, was much-ignored by his fellow naturalists:

[...] I look at individual differences, though of small interest to the systematist, as of high importance for us, as being the first step towards such slight varieties as are barely thought worth recording in works on natural history.

— (Darwin, 1859), p. 42

Gillian Beer has argued that this focus on individual differences was Darwin’s key contribution, and what separated him from other evolutionists like his grandfather Erasmus or his French predecessor Jean-Baptiste Lamarck (1996, p. xviii). Indeed, Darwin calls these individual differences as “*generative variability*” (p. 117), in that they *generate* evolutionary change.

Whereas “the systematists” quoted by Darwin ignored individual differences, Victorian breeders were absolutely dependent on them, and Darwin shows a keen awareness of the challenges faced by breeders as well as the skills required to be a good one (1859, p. 25). In keeping with Beer’s interpretation, Pickstone has also pointed out that the analogy with an active human selector or breeder was the key insight that led Darwin to formulate his theory (2004, p. 30). Citing Desmond and Moore’s biography of Darwin (1991), Pickstone highlights the influence that commercially-driven human selection had on Darwin:

In Britain from 1750, cattle and sheep were changed radically as breeders sought marketable characteristics and faster growth. [...] the theory of evolution by natural selection [...] can be shown to have built on this shift in breeding technology.

— (Pickstone, 2004, p. 30)

Indeed, Darwin dedicates his first chapter ‘Variation under Domestication’ to discussing man-made selection, using examples from horses, sheep, dogs, and pigeons (Darwin, 1859, pp. 9-37). In fact, Darwin tells us that his study of individual differences between domesticated forms led him to take up the breeding of domestic pigeons, becoming a member of “two of the London Pigeon Clubs” (p. 18-19). Darwin undoubtedly sharpened his eye for individual differences through his pigeon-breeding, and brought this sharpened breeders’ eye to bear on

biological systematics. Breeding and man-made selection is ever present throughout *Origin*, even in discussions of Natural Selection. For example, in the fourth Chapter ('Natural Selection') he seamlessly switches between discussing a human and a 'natural' selector: "Though nature grants vast periods of time for the work of natural selection [...] In man's methodical selection, a breeder selects for some definite object" (p. 79). For Darwin, both natural and man-made selection relied on the same principle ("Multiply, vary, let the strongest live and the weakest die" (p. 181)). But Nature, in contrast to Man, has no "definite object" in its selection procedure and can only modify a species "through and for its advantage" (p. 114). It is also rendered far more powerful due to the "vast periods of time" it has at its disposal relative to Man.

My key point is that Darwin would not have been able to even begin to explore the parallel between a 'natural' and a human selection without relying on heuristic personification. It is barely conceivable, for example, that the following insight can be made without, temporarily, holding Nature to agency and intelligence:

as man can certainly produce great results by adding up in any given direction mere individual differences, so could Nature, but far more easily, from having incomparably longer time at her disposal.

— (Darwin, 1859, p. 64)

It follows that *heuristic personification* of nature enabled Darwin to apply the certain logic of artificial breeding practices to the action of Nature. We have thus shown that it is an epistemic practice - a way of producing knowledge, by discerning the generative mechanism of species change.

3.2) In W.D. Hamilton's Game-Theoretic Explanations of Altruism

In evolutionary biology, an organism is said to behave altruistically when it provides a benefit to other organisms, at a cost to itself (Okasha, 2020). Charles Darwin struggled to explain how altruistic traits could be explained by natural selection if they disadvantaged the altruist (ibid). His strategy was to argue that these traits would benefit the group, thus introducing 'group selection'. This explanation fell into disrepute in the 1960s however, after the work of G.C. Williams (1966) and J. Maynard Smith (1964) showed that group selection was an inherently weak evolutionary force, hence unlikely to promote significant altruistic behaviours (Okasha, 2020). W.D. Hamilton's 1964 paper "The Genetical Evolution of Social Behaviour," 1964 contained a game-theoretic mathematical proof showing that altruism can evolve as a trait as long as the benefits of altruistic acts fell on individuals that were genetically related to the donor 2026. Hamilton's rule gave the conditions by which one individual would likely behave altruistically toward another: If the relatedness of the recipient (r) multiplied by the benefit

to the recipient (B) exceeds the reproductive cost to the donor (C) (Hamilton, 1964), then an altruistic trait will be favoured by natural selection:

$$rB > C$$

Hamilton's core insight was that a gene's success isn't tied solely to the survival of one specific body. Because relatives share a significant portion of their DNA, a "replica" of a gene can exist in a sibling, cousin, or nephew just as easily as in a direct descendant (1972 p. 192).

By helping a relative survive and reproduce, an organism is indirectly ensuring the survival of its own genetic material. Under this logic, the gene—not the individual—is the fundamental unit of selection, the protagonist of evolution, so to speak; the individual is merely the "vehicle" that carries the gene into the next generation (Dawkins, 1976; Segerstrale, 2026).

Hamilton's highly mathematical paper concludes by employing *heuristic personification* to interpret the result of his mathematical proof, writing that "from a gene's point of view [...] behaviour that involves taking too much from close relatives will not evolve" (1964, p. 16). Thus, the prediction is made via pure mathematics, but the interpretation is done by personifying the *gene*. Eight years later, in a paper which uses the same reasoning of 'kin selection' to explain the sterility of worker ants, Hamilton begins by attributing "to the genes, temporarily, intelligence and a certain freedom of choice", using this *heuristic* to explain under which conditions the gene would cause "purely self-interested behaviour by its bearer" versus behaviour "that benefits in some way a relative" (Hamilton, 1972, p. 195). After using this tool to deduce, mathematically, his "regression coefficient of relatedness" (p. 196), Hamilton says "We can now abandon the fanciful viewpoint of individual genes" (ibid). But just because he has abandoned the *objective reality* of the personified gene does not mean that it wasn't incredibly useful in establishing what he was trying to prove.

Richard Dawkins' *Selfish Gene* (1976) fully commits to Hamilton's personification of the gene. Thus, Dawkins states that "natural selection for selfish genes tends to favour **cooperation among genes**" (p. xi), ascribing to the gene the capacity to pursue a certain kind of interaction. Dawkins' account supports viewing personification as an epistemic practice; For him, personification of this kind is not just a "quaint didactic device" (p. xii). He explains how it is a *tool* for biologists working with the dense algebra of game theory:

"[in] Darwinian calculations of altruism and selfishness [...] it is very easy to get the wrong answer. Personifying genes, [...] often turns out to be the shortest route to rescuing a Darwinian theorist".

— (Dawkins, 1976, *Introd.* p. xii)

Thus, *heuristic personification* of the 'gene' is presented as the surest route to truth for the sociobiologist. It is thus a 'way of knowing' - an epistemic practice.

4) Objections to Heuristic Personification as Distinct Epistemic Practice

It may be contended that *heuristic personification* is simply vitalism - the belief that life cannot be fully explained by the same laws that govern inanimate matter - in disguise. But vitalism implies that biological agency *objectively have* irreducible agency. For example, Hans Driesch's "entelechy" is taken to be "an organising, directive force that consumed no energy, was immaterial, but was the factor that distinguished living from non-living matter" (Allen, 2005, p. 271)). As we have seen in §3.1, Darwin rejected a literal view of personified *Nature*. In his defense of W.D Hamilton, Dawkins is even more forceful in denying the reality of the personified *gene*, saying that "no sane person thinks DNA molecules have conscious personalities" (Dawkins, 1976, p. xi)

The insistence on the *fictionality* of the agency that is so useful as a heuristic tool is why it ought to be considered a *useful fiction*. This makes *heuristic personification* different from vitalism.

It may also be contended that *heuristic personification* is merely a type of *analysis* in the Pickstonian sense. This contention is easy to refute. For Pickstone, *analysis* concerns the "decomposition of 'compounds' into their various elements, and the reduction of systems to the 'flow' of single elements" (p. 85). Importantly, these 'simple elements' are always implicitly taken to be real. It would stretch the concept to the point of meaninglessness if we expanded "analysis" to include *useful fictions* like *heuristic personification*.

5) Conclusion

We have seen that, for Darwin and W.D Hamilton, *heuristic personification* was far more than rhetoric: it did real conceptual work - the work of discovery, not didactics. Personifying Nature was essential to Darwin's core argument in the *Origin of Species*. When we realise that natural selection implies a selector, we are led to the key insight that allowed Darwin to see what others missed: that human breeding was a minified version of the work of nature - an insight that is only possible with *heuristic personification*. Similarly, for W.D Hamilton, the *heuristic personification* of the *gene* allowed him to justify the application of game-theoretic models, which involve considered judgment by actors participating in a 'game', to explain altruism as 'kin selection'. Indeed, without personified (rational) actors there can be no "game" in "game theory". As Richard Dawkins further elaborated, personification served as an epistemic shortcut

for practising biologists: a way to navigate complex mathematical probabilities and quickly arrive at an answer without having to explicitly go through the mathematical proofs offered by Hamilton (1964, 1972).

These considerations lead us to the conclusion that Pickstone's taxonomy of epistemic practices is insufficient to fully classify the practices that have underpinned the conceptual development of evolutionary biology. Thus, I propose that we elevate *heuristic personification* to its rightful place as a distinct epistemic practice.

(3854 words)

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